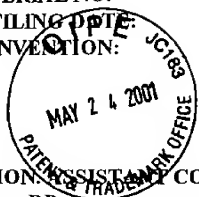


IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

2838
#4

APPLICANT: Yamada, S. et al
SERIAL NO. 09/747,627
FILING DATE: February 18, 1998
INVENTION: "Methods of Producing Negative Electrode Material, Negative Electrode, and Non-Aqueous Electrolyte Battery"

ATTORNEY DOCKET NO. 09792909-4734
GROUP ART UNIT: 2838
EXAMINER: NA



INFORMATION DISCLOSURE STATEMENT TRANSMITTAL LETTER

HONORARY ASSISTANT COMMISSIONER OF PATENTS
Box DD
Washington, D.C. 20231

Sir:

Submitted herewith is an Information Disclosure Statement for consideration in the above-identified application. This Information Disclosure Statement is submitted:

- ☒ Within 3 months (1) of filing date of a national application; (2) of date of entry of the national stage as set forth in 37 C.F.R. §1.491 in an international application; or (3) before the mailing date of a first Office Action on the merits, whichever occurs last. (No fee is required.)
- ☐ After the mailing date of a first Office Action but before (1) mailing of a final action under 37 C.F.R. §1.113; or (2) mailing of a notice of allowance under §1.311, whichever occurs first.
 - ☐ Payment for the fee set forth in 37 C.F.R. §1.17(p) accompanies this submission; or
 - ☐ The certification specified in 37 C.F.R. §1.97(e) is made below. (No fee is required.)
- ☐ After the mailing of (1) a final action under 37 C.F.R. §1.113; or (2) a notice of allowance under 37 C.F.R. §1.311 whichever occurs first, but **before** payment of the issue fee. The certification specified in 37 C.F.R. §1.97(e) is made below. The Commissioner hereby is petitioned to consider the Information Disclosure Statement accompanying this submission. Payment for the Petition fee set forth in 37 C.F.R. §1.17(i)(1) accompanies this submission.
- ☐ The undersigned counsel for applicant(s) hereby certifies each item of information identified in the Information Disclosure Statement was cited in a communication from a foreign Patent Office in a counterpart foreign application not more than three months prior to the filing of this Information Disclosure Statement.
- ☐ The undersigned counsel for applicant(s) hereby certifies that no item of information contained in the Information Disclosure Statement was cited in a communication from a foreign Patent Office in a counterpart foreign application, or to the knowledge of the undersigned, after making reasonable inquiry, was known to any individual designated in 37 C.F.R. §1.56(c) more than three months prior to the filing of this Information Disclosure Statement.
- ☐ A check in the amount of \$_____ to cover any required fee is enclosed.
- ☒ The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Account No. 19-3140. *A duplicate copy of this sheet is enclosed for this purpose.*

Very respectfully,

SONNENSCHN NATH & ROSENTHAL

By David R. Metzger Reg. 32,919
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When phoning re this application,
please call (312) 876-8000

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CERTIFICATE OF MAILING

I hereby certify that this Information Disclosure Statement is being deposited with the United States Postal Service in an envelope addressed to the Assistant Commissioner of Patents at the United States Patent and Trademark Office, Box DD, Washington D.C. on May 21, 2001.

Paula M. Sherman



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Docket No. 9792909-4734

TECHNOLOGY CENTER 2800

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

INFORMATION DISCLOSURE STATEMENT

APPLICANT(S): Yamada, S. et al DOCKET NO.: 09792909-4734
SERIAL NO.: 09/747,627 GROUP ART UNIT: 2838
DATE FILED: December 22, 2000 EXAMINER: NA
INVENTION: "Methods of Producing Negative Electrode Material, Negative Electrode, and Non-Aqueous Electrolyte Battery"

Hon. Assistant Commissioner for Patents

Box DD

Washington, DC 20231

S I R:

In accordance with the provisions of 37 C.F.R. § 1.56, Applicants request that citation and examination of the references identified on the attached PTO-1449 form, copies of which are enclosed herewith in accordance with 37 C.F.R. §1.98, be made during the course of examination of the above-referenced application for United States Letters Patent.

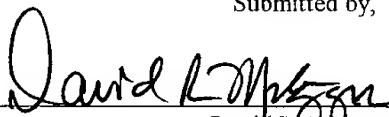
I. SUBMITTED FOREIGN PATENT REFERENCES

<u>Number</u>	<u>Country</u>	<u>Date of Publication</u>
0758801	EP	February 19, 1997
0820110	EP	January 21, 1998
0935302	EP	August 11, 1999
1045465	EP	<u>October 18, 2000</u>

III. EXPLANATION OF RELEVANCE

The above-identified European patent reference was cited in European Patent Office Search Report of April 17, 2001 in counterpart European Patent Application No. 00127944.2. A copy of the Search Report is submitted herewith.

Submitted by,

 (Reg. 32,919)

David R. Metzger

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